

Substitute for form 1449/PTO		Complete if Known			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	10/613,443		
		Filing Date	July 3, 2003		
		First Named Inventor	GOLDFARB, ERIC A.		
		Art Unit	3773		
		Examiner Name	MELISSA K RYCKMAN		
Sheet	1	of	4	Attorney Docket Number	020489-000120US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
	1	FR	2768324		03-19-1999	SEGUIN JACQUES	ABSTRACT ONLY <input type="checkbox"/>
	2	WO	98/24372		06-11-1998	ANGIOTRAX INC	<input type="checkbox"/>

Examiner Signature	/Melissa Ryckman/ (06/02/2009)	Date Considered	
--------------------	--------------------------------	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	10/613,443
				Filing Date	July 3, 2003
				First Named Inventor	GOLDFARB, ERIC A.
				Art Unit	3773
				Examiner Name	MELISSA K RYCKMAN
Sheet	2	of	4	Attorney Docket Number	020489-000120US

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²		
	3	Supplementary European Search Report of EP Application No. 00921854.6, dated October 22, 2008, 6 pages total.		<input type="checkbox"/>	
	4	ALFIERI, et al., "An effective technique to correct anterior mitral leaflet prolapse," J Card Surg, 1999; 14(6):468-470.		<input type="checkbox"/>	
	5	ALFIERI et al., "The edge to edge technique," The European Association for Cardio-Thoracic Surgery 14th Annual Meeting, October 7-11, 2000, Book of Proceedings.		<input type="checkbox"/>	
	6	BHUDIA "Edge-to-edge mitral repair: a versatile mitral repair technique," The Cleveland clinic Foundation, (date unknown).		<input type="checkbox"/>	
	7	BORGHETTI V. et al., "Preliminary observations on haemodynamics during physiological stress conditions following 'double-orifice' mitral valve repair," Eur J. Cardio-thoracic Surgery, April 18, 2001, 20:262-269.		<input type="checkbox"/>	
	8	DOTTORI et al., "Echocardiographic imaging of the Alfieri type mitral valve repair," Ital Heart J, January 24, 2001, 2(4):319-320.		<input type="checkbox"/>	
	9	FALK et al., "Computer-enhanced mitral valve surgery: toward a total endoscopic procedure," Seminars in thoracic and cardiovascular surgery, July 1999; 11(3):224-249.		<input type="checkbox"/>	
	10	GILLINOV et al., "Is minimally invasive heart valve surgery a paradigm for the future?," Current Cardiology Reports, 1999; 1:318-322.		<input type="checkbox"/>	
	11	GUNDRY, "Facile mitral valve repair utilizing leaflet edge approximation: midterm results of the Alfieri figure of eight repair," Meeting of The Western Thoracic Surgical Association, 1999.		<input type="checkbox"/>	
	12	IKEDA et al., "Batista's operation with coronary artery bypass grafting and mitral valve plasty for ischemic dilated cardiomyopathy," Jpn J Thorac Cardiovasc Surg. 2000 Nov;48(11):746-749.		<input type="checkbox"/>	

Examiner Signature	/Melissa Ryckman/ (06/02/2009)	Date Considered	
--------------------	--------------------------------	-----------------	--

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/613,443
				Filing Date	July 3, 2003
				First Named Inventor	GOLDFARB, ERIC A.
				Art Unit	3773
				Examiner Name	MELISSA K RYCKMAN
Sheet	3	of	4	Attorney Docket Number	020489-000120US

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
	13	IZZAT et al., "Early experience with partial left ventriculectomy in the Asia-Pacific Region," <i>Annals of Thoracic Surgery</i> , 1999; 67:1703-1707.	<input type="checkbox"/>	
	14	KÄLLNER et al., "Transaortic approach for the Alfieri Stitch," <i>Ann Thorac Surg</i> , 2001; 71:378-380.	<input type="checkbox"/>	
	15	KAVARNA t al., "Transaortic repair of mitral regurgitation," Presented at the third annual New Era Cardiac Care conference, San Diego, CA, January 13-16, 2000, http://www.hsforum.com/vol3/issue1/2000-2389print.html .	<input type="checkbox"/>	
	16	KHERANI, "The edge-to-edge mitral valve repair: the Columbia Presbyterian experience, Columbia University, (date unknown).	<input type="checkbox"/>	
	17	KONERTZ et al., "Results after partial left ventriculectomy in a European heart failure population," <i>J. Cardiac Surg</i> , 1999; 14(2):129-135.	<input type="checkbox"/>	
	18	KRÜGER et al, "Edge to edge technique in complex mitral valve repair," <i>Thorac Cardiovasc Surg</i> , 2000, Thema: Poster, http://www.thieme.de/thoracic/abstracts/abstracts/p_73.html .	<input type="checkbox"/>	
	19	LORUSSO et al., "The double-orifice technique for mitral valve constriction: predictors of postoperative outcome," <i>Eur J. Cardiothorac Surg</i> , May 23, 2001; 20(3):583-589.	<input type="checkbox"/>	
	20	LORUSSO e al., "Double-Orifice" technique to repair extensive mitral valve excision following acute endocarditis," <i>J Card Surg</i> , 1998; 13:24-26.	<input type="checkbox"/>	
	21	MAISANO t al., "The double orifice technique as a standardized approach to treat mitral regurgitation due to severe myxomatous disease: surgical technique," <i>Eur J. Cardiothoracic Surg.</i> , Jan 18, 2000; 17:201-215.	<input type="checkbox"/>	
	22	MAISANO et al., "The double orifice repair for Barlow Disease: a simple solution for a complex repair," <i>Supplement I Circulation</i> , 1999; 100(18):1-94.	<input type="checkbox"/>	
	23	MAISANO, F. et al., "The hemodynamic effects of double-orifice valve repair for mitral regurgitation: a 3D computational model," <i>European Journal of Cardio-thoracic Surgery</i> , 1999; 15:419-425.	<input type="checkbox"/>	

Examiner Signature	/Melissa Ryckman/ (06/02/2009)	Date Considered	
--------------------	--------------------------------	-----------------	--

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known			
				Application Number		10/613,443	
				Filing Date		July 3, 2003	
				First Named Inventor		GOLDFARB, ERIC A.	
				Art Unit		3773	
				Examiner Name		MELISSA K RYCKMAN	
Sheet	4	of	4	Attorney Docket Number		020489-000120US	

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. ¹	include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
	24	MAISANO t al., "Valve repair for traumatic tricuspid regurgitation," Eur J. Cardio-Thorac Surg, 1996; 10:867-873.	<input type="checkbox"/>	
	25	MANTOVANI et al., "Edge-to-edge repair of congenital familial tricuspid regurgitation: case report," J. Heart Valve Dis, Sept. 2000; 9 (5):641-643.	<input type="checkbox"/>	
	26	MCCARTHY et al., "Partial left ventriculectomy and mitral valve repair for end-stage congestive heart failure," Eur J. Cardio-thoracic Surgery, 1998; 13:337-343.	<input type="checkbox"/>	
	27	MORALES et al., "Development of an off bypass mitral valve repair," The Heart Surgery Forum #1999-4963," 1999; 2(2):115-120.	<input type="checkbox"/>	
	28	NAKANISHI et al., "Early outcome with the Alfieri mitral valve repair," J Cardiol, May 2001; 37(5):263-266, (Abstract in English; Article in Japanese.)	<input type="checkbox"/>	
	29	NIELSEN et al., "The edge-to-edge mitral repair: tension on the approximating suture and leaflet deformation during acute ischemic mitral regurgitation in the ovine heart," Circulation, 2001;104 [suppl I]:I-29-I-35.	<input type="checkbox"/>	
	30	OSAWA et al., "Partial left ventriculectomy in a 3 year old boy with dilated cardiomyopathy," Japanese Journal of Thoracic and Cardiovascular Surg, Sep 2000, 48(9):590-593.	<input type="checkbox"/>	
	31	TIMEK et al., "Edge-to-edge mitral repair: gradients and three-dimensional annular dynamics in vivo during inotropic stimulation," Eur J. of Cardiothoracic Surg., Jan 9, 2001; 19:431-437.	<input type="checkbox"/>	
	32	TOTARO et al., "Mitral valve repair for isolated prolapse of the anterior leaflet: an 11 year follow-up," Eur J. Cardio-Thoracic Surg, 1999; 15:119-126.	<input type="checkbox"/>	

Examiner Signature	/Melissa Ryckman/ (06/02/2009)	Date Considered	
--------------------	--------------------------------	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.